



City of Lima

Application for Grant Funds Under the
**Homelessness Prevention
and Rapid Re-Housing Program (HPRP)**

A Substantial Amendment to the 2008-09 Action Plan (Year-4)
of the 5-Year Consolidated Plan

City of Lima
Department of Community Development
50 Town Square
Lima, OH 45801



**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the
Homelessness Prevention and Rapid Re-Housing Program (HPRP)**

A. General Information

Grantee Name	<i>City of Lima</i>
Name of Entity or Department Administering Funds	<i>Department of Community Development</i>
HPRP Contact Person (person to answer questions about this amendment and HPRP)	<i>Amy Sackman Odum</i>
Title	<i>Director of Community Development</i>
Address Line 1	<i>Lima Municipal Center</i>
Address Line 2	<i>50 Town Square</i>
City, State, Zip Code	<i>Lima, OH 45801</i>
Telephone	<i>419-221-5146</i>
Fax	<i>419-221-5144</i>
Email Address	<i>amy.odum@cityhall.lima.oh.us</i>
Authorized Official (if different from Contact Person)	<i>David J. Berger</i>
Title	<i>Mayor</i>
Address Line 1	<i>Lima Municipal Center</i>
Address Line 2	<i>50 Town Square</i>
City, State, Zip Code	<i>Lima, OH 45801</i>
Telephone	<i>419-228-5462</i>
Fax	<i>419-221-5199</i>
Email Address	<i>david.berger@cityhall.lima.oh.us</i>
Web Address where this Form is Posted	<i>www.cityhall.lima.oh.us/dept/community/HPRP.pdf</i>

Amount Grantee is Eligible to Receive	<i>\$ 506,015</i>
Amount Grantee is Requesting	<i>\$ 506,015</i>

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B. Citizen Participation and Public Comment

1. Briefly describe how the grantee followed its citizen participation plan regarding this proposed substantial amendment (limit 250 words).

Response: In the City of Lima and Allen County, Ohio, the entity that oversees matters related to homelessness and prevention is the Continuum of Care (CoC), a subcommittee under the Allen County Housing Consortium. At the March 20/09 meeting of the CoC, the City's Dept of Community Development (DCD) announced the availability of the HPRP grant funds. At this meeting, member agencies were requested to review the HPRP Federal Notice and to suggest ways to implement the program. At the HC meeting of April 28, 2009, it was discussed that Allen Metro Housing Authority (MHA) and Lima/Allen Council on Community Affairs (LACCA) are the agencies which serve most of the eligible beneficiaries of HPRP. A collaborative between the two agencies, in partnership with the City of Lima, may be developed to implement the program locally, with the CoC serving as policy coordinating body. The draft application was placed on public review and comment between May 4 and May 16, 2009.

2. Provide the appropriate response regarding this substantial amendment by checking one of the following options:

- Grantee did not receive public comments.
- Grantee received and accepted all public comments.
- Grantee received public comments and did not accept one or more of the comments.

3. Provide a summary of the public comments regarding this substantial amendment. Include a summary of any comments or views not accepted and the reasons for non-acceptance.

Response: Lima did not receive comments during the public review period of May 4 through 16, 2009.

C. Distribution and Administration of Funds

Reminder: The HPRP grant will be made by means of a grant agreement executed by HUD and the grantee. The three-year deadline to expend funds begins when HUD signs the grant agreement. Grantees should ensure that sufficient planning is in place to begin to expend funds shortly after grant agreement.

1. Check the process(es) that the grantee plans to use to select subgrantees. Note that a subgrantee is defined as the organization to which the grantee provides HPRP funds.

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Competitive Process

Formula Allocation

Other (Specify: _____)

2. Briefly describe the process(es) indicated in question 1 above (limit 250 words).

Response: The City's Dept of Community Development will issue a request for proposals targeting viable existing public agencies and non-profit organizations that currently serve the broadest scope of eligible beneficiary-types with delivery systems for HPRP-relevant services. The RFP will also include preference for existing capability for HMIS or ability to contract HMIS services, and capacity to meet all other HUD subgrantee qualifications.

3. Briefly describe the process the grantee plans to use, once HUD signs the grant agreement, to allocate funds available to subgrantees by September 30, 2009, as required by the HPRP Notice (limit 250 words).

Response: Upon identification of the potential subgrantees, the City of Lima will create subgrantee agreements for execution by September 30, 2009 or sooner upon the receipt of the HPRP grant from HUD.

4. Describe the grantee's plan for ensuring the effective and timely use of HPRP grant funds on eligible activities, as outlined in the HPRP Notice. Include a description of how the grantee plans to oversee and monitor the administration and use of its own HPRP funds, as well as those used by its subgrantees (limit 500 words).

Response: The City of Lima does not have the service provision structure in-place to effectively implement the intentions of HPRP. The city has existing administrative, legal and accounting systems for CDBG and HOME funds, which will be adapted for HPRP purposes in oversight, monitoring and reporting. As a member of the local CoC and with its excellent working relationships with MHA and LACCA (agencies which have demonstrated capabilities in effective administration and compliance to rules and regulations with similar federal grants), the DCD plans to enter into subrecipient agreements with these two agencies and will continue its active participation in CoC policy deliberations on matters relating to HPRP and housing-related concerns.

D. Collaboration

1. Briefly describe how the grantee plans to collaborate with the local agencies that can serve similar target populations, which received funds under the American Recovery and Reinvestment Act of 2009 from other Federal agencies, including the

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U.S. Departments of Education, Health and Human Services, Homeland Security, and Labor (limit 250 words).

Response: Through DCD, the city is an active member of the Allen County Housing Consortium, which meets monthly on housing and housing-related matters. The CoC is a sub-committee of the Consortium in which the DCD is a member as well. Within this inter-agency organization, there is a great opportunity for collaboration and coordination in similar or related activities (funded either by federal, state, private, or other sources).

2. Briefly describe how the grantee plans to collaborate with appropriate Continuum(s) of Care and mainstream resources regarding HPRP activities (limit 250 words).

Response: Through DCD, the city is an active member of the Allen County Housing Consortium, which meets monthly on housing and housing-related matters. The CoC, in turn, is a sub-committee of the Consortium in which the DCD is a member as well. DCD staff was involved in the development of the 10-year Blueprint to End Homelessness and remains active in both the consortium and CoC. This collaborative is unique in that all agencies within Allen County, Ohio that serve the homeless, at-risk homeless, and working poor are represented and are actively involved.

3. Briefly describe how HPRP grant funds for financial assistance and housing relocation/stabilization services will be used in a manner that is consistent with the grantee's Consolidated Plan (limit 250 words).

Response: The intended activities of the HPRP are deemed consistent with the current 5-Yr Consolidated Plan of the City of Lima. Specifically under the ConPlan's Needs and Strategies section (H.2), the city has pronounced policy support for agencies that address continuum of care in housing, rental assistance, emergency shelter, transitional housing, and permanent housing.

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E. Estimated Budget Summary

HUD requires the grantee to complete the following table so that participants in the citizen participation process may see the grantee’s preliminary estimated amounts for various HPRP activities. Enter the estimated budget amounts for each activity in the appropriate column and row. The grantee will be required to report actual amounts in subsequent reporting.

HPRP Estimated Budget Summary			
	Homelessness Prevention	Rapid Re-housing	Total Amount Budgeted
Financial Assistance ¹	\$ 203,357	\$ 203,358	\$ 406,715
Housing Relocation and Stabilization Services ²	\$ 18,000	\$ 36,000	\$ 54,000
Subtotal (add previous two rows)	\$ 221,357	\$ 239,358	\$ 487,715

Data Collection and Evaluation ³	\$ 20,000
Administration (up to 5% of allocation)	\$ 25,300
Total HPRP Amount Budgeted⁴	\$ 506,015

¹Financial assistance includes the following activities as detailed in the HPRP Notice: short-term rental assistance, medium-term rental assistance, security deposits, utility deposits, utility payments, moving cost assistance, and motel or hotel vouchers.

²Housing relocation and stabilization services include the following activities as detailed in the HPRP Notice: case management, outreach, housing search and placement, legal services, mediation, and credit repair.

³Data collection and evaluation includes costs associated with operating HUD-approved homeless management information systems for purposes of collecting unduplicated counts of homeless persons and analyzing patterns of use of HPRP funds.

⁴This amount must match the amount entered in the cell on the table in Section A titled “Amount Grantee is Requesting.”

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F. Authorized Signature

By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete, and accurate to the best of my knowledge. I also provide the required assurances and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

(signed)

May13, 2009

Signature/Authorized Official

Date

Mayor
Title

Continuum of Care in Housing in Allen County, Ohio

The Mental Health Recovery Services Board took the first steps in transforming the homeless system by spearheading an initiative to eliminate homelessness within ten years. In 2007, the Allen County community received the ***Blueprint to End Homelessness*** (www.wecarepeople.org/Blueprint.php), a ten-year strategy to ensure that all families and persons experiencing the possibility of homelessness in our county would have a safe, affordable, and decent place to call home.

Two-hundred and two (202) people were surveyed for the Blueprint and the data revealed that the three leading causes for or the risk of people becoming homeless were unemployment, the inability to pay rent or mortgage, and substance/alcohol abuse. One hundred-thirty three (133) respondents experienced homelessness more than once in their life, and 97 persons needed housing assistance for less than a year.

In an effort to prevent homelessness and maintain the self-esteem and unity in the family, Lima/Allen Council on Community Affairs (LACCA) and the Allen Metropolitan Housing Authority (AMHA) are requesting to partner with the City of Lima as sub-grantees for the \$506,015 funding from the Homeless Prevention and Rapid Re-housing Program. The sub grantees will provide HMIS reporting data, rent and utility related assistance, case management and housing locating services for individuals and families living at or below 50% of median income in the city.

LACCA has witnessed first hand the need for a holistic approach empowering individuals in active roles to maintain their financial, familial, and community stability. In 2007, LACCA served over 200 families in rent/utility assistance and turned away over 400 more due to a lack of funding. AMHA currently has over 900 people waiting for housing assistance. The City Schools homeless liaison has had about 130 students in need of housing this school year. We believe that effective case management will ensure that dollars spent helping individuals and families maintain/obtain homes will be part of a long term strategy to permanent housing. People often find themselves facing circumstances that warranted multiple benefits from agencies to resolve issues. LACCA and AMHA will work together to meet those needs in the community.

LACCA and AMHA will continue the work of transforming the homeless system in the city, which the Mental Health Board began, by using the HPRP dollars in three areas: prevention, diversion, and rapid re-housing.

According to the National Alliance to End Homelessness (www.endhomelessness.org/content/article/detail/2185#Overview):

An effective prevention and re-housing system has three layers: prevention, shelter diversion, and rapid re-housing. Homelessness prevention serves a large number of people who are at risk of homelessness. Diversion is to prevent homelessness by assisting people who apply for shelter by identifying other safe housing options and resources, even if they are temporary. If prevention and diversion fail, and people become homeless, then rapid re-housing minimizes their stay in homelessness by quickly helping them move into permanent housing.